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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: YAMAZAKI, Hiroshi et al.

Group Art Unit: 3628

Serial No.: 09/486,341

Examiner: POINVIL, FRANTZY

Filed: February 25, 2000

P.T.O. Confirmation No.: 4432

For: GENERAL FINANCIAL RISK MANAGEMENT APPARATUS AND
FINANCIAL TRANSACTIONS MODELING APPARATUS

AMENDMENT UNDER 37 CFR §1.111

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GROUP 3600

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

January 14, 2004

Sir:

In response to the Office Action dated October 14, 2003, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 12 of this paper.